

Form 210A (10/06)

# United States Bankruptcy Court

Southern District Of New York

In re Lehman Brothers Holdings Inc., et al., Debtors,

Case No. 08-13555 (JMP)

## TRANSFER OF CLAIM OTHER THAN FOR SECURITY

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence, attached hereto, and notice pursuant to Rule 3001(e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this evidence and notice.

BSI SA

Name of Transferee

Name and Address where notices  
to transferee should be sent:

BSI SA  
Via Magatti 2  
CH-6900 Lugano  
Switzerland

Phone: +41(0)58 809 3548

Last Four Digits of Acct #: \_\_\_\_\_

Name and Address where transferee  
payments should be sent (if different  
from above):

Phone: \_\_\_\_\_

Last Four Digits of Acct #: \_\_\_\_\_

Credit Suisse AG

Name of Transferor

Court Claim # (if known): 55829

Date Claim Filed: 29 October 2009

Amount of Claim: see Proof of Claim

Portion of Claim Transferred (see  
Schedule I): USD 30,000 AND 30 units

Phone: +41 44 333 09 46

Last Four Digits of Acct. #: \_\_\_\_\_

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: [Signature]  
Transferee/Transferee's Agent

Date: 10 February 2012

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years, or both. 18 U.S.C. §§ 152 & 3571.

EVIDENCE OF TRANSFER OF CLAIM

**TO: THE DEBTOR AND THE BANKRUPTCY COURT**

For value received, the adequacy and sufficiency of which are hereby acknowledged, **Credit Suisse AG** ("Transferor") unconditionally and irrevocably transferred to **BSI SA Lugano** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 55829**) relating to the security with International Securities Identification Number listed on Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS  
EXECUTED ON FEBRUARY 1, 2012.

**Credit Suisse AG**

By: 

Name:

Title:

M. Kobler

MD

By: 

Name:

Title:

P. NUZZARELLI

DIRECTOR

CREDIT SUISSE

**SCHEDULE I**

**Lehman Programs Securities Related to Transferred Portion of Claim:**

ISIN	Court Claim #	Date Claim Filed	Issuer	Currency and Nominal Amount or Number of Units
ANN5214A1035	55829	October 29, 2009	Lehman Brothers Securities NV	30 Units
XS0274985828	55829	October 29, 2009	Lehman Brothers Securities NV	USD 30'000.00

  
P. W. T. T. T. T.



To:  
United States Bankruptcy Court  
Southern District of New York  
Lehman Brothers Holdings Claims Processing  
Center  
c/o Epiq Bankruptcy Solutions, LLC  
757 Third Avenue, 3rd Floor  
New York, NY10017 (USA)

BY DHL

Lugano 22 February 2012

Notice of transfer, from Credit Suisse AG (the "Transferor") to BSI SA on behalf of its client (the "Transferee"), of securities issued by Lehman Brothers Securities N.V. (the "Securities") and claim n. 55829 attached thereto (the "Claim")

Dear Sirs,

reference is made to the transfer of the above mentioned Securities and part of the Claim to our bank as nominee on behalf of its client/s (the "Transfer").

In order to duly notify you of the Transfer, please find here attached the following documents, so that you can kindly proceed and amend the Claim Register accordingly:

- 1) Form 210/A, signed by our bank as Transferee on behalf of its client;
- 2) "Evidence of Transfer of Claim", signed by Credit Suisse AG as Transferor, as proof that Credit Suisse AG has partially transferred a registered claim to BSI SA on behalf of its client/s.

Given the importance of this Notice in the interests of our client/s, We would also appreciate if you can advise us immediately if something (e.g. information, document, etc.) is missing by sending a message to the following e-mail address: [group.bsicustodyadministration@bsibank.com](mailto:group.bsicustodyadministration@bsibank.com)

Thank you very much in advance for your kind help and attention.

Yours faithfully,

BSI SA

  
Andrea Fincato

  
Matteo Zapparoli

**Attachments: as above**

Recommended weight	1 = 0.5 kg	2 = 1 kg	3 = 2 kg	4 = 5 kg	5 = 10 kg	6 = 15 kg	7 = 20 kg	8 = 25 kg
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Express Worldwide  
Intern 9846

DOX **DHL**

From BSI SA  
44191/8093111  
VIA FRANSCHINI 8  
8900 LUGANO  
CH SWITZERLAND

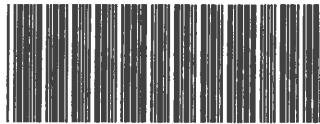
To UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK  
LEHMAN BROTHERS CLAIMS C/O  
EPIQ BANKRUPTCY SOLUTIONS LLC  
757 THIRD AVENUE 3RD FLOOR  
10017 NEW YORK NEW YORK  
US UNITED STATES OF AMERICA

**US-ZYP-TSS**

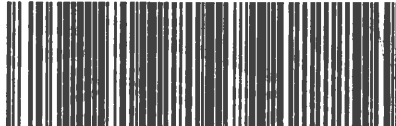
Ref code 1040002-94368-93815 Piece Weight: 0.5 kg  
Account No 150044796 Pickup date: 2012-02-22

Content / Commerce Control Statement / RC

document  
Service : DAP Customs Value : 0.00 CHF  
Imp/Exp Type : permanent IV : 0.00 CHF



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EXPRESS WORLDWIDE | DOX | **DHL**  
10017 NEW YORK, United States  
JFK  
**US-ZYP-TSS**  
Account No. 150044796  
Ref code 1040002-94368-93815  
Date: Fee / Supt Weight Piece  
0.50 / 0.50 KG 1/1  
Origin: LUG  
Day Time  
Content description:  
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